



Sentara Capital, LLC

Registered Investment Advisor

Sentara Capital, LLC
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William Samuel Allen

Investment Advisor Representative

Form ADV Part 2B
Advisory Personnel Brochure Supplement
March 20, 2024

This brochure supplement provides information about William S. Allen that supplements the Sentara Capital, LLC ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Michael Brooks, Chief Compliance Officer at (770) 509-5305 if you did not receive the Sentara Capital, LLC ADV Part 2A brochure or if you have any questions about the contents of this supplement.

Additional information about William Allen is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm through its advisory brochure or brochure supplement, as well as their business experience for at least the previous five years. As independent financial professionals, the officers and associates of our firm may have multiple industry affiliations so that they are able to provide a broad range of services to their clients; they are not, however, reflective of multiple changes of employment during the referenced timeframe as the noted financial professionals have been associated with the same local office for a minimum of the five year reportable period.

<u>Year of Birth</u>	<u>CRD #</u>
1978	3103398

Formal Education after High School

Bachelor's of Business Administration (Finance), University of Georgia; Athens, GA
Chartered Retirement Planning Counselor (CRPC)¹ - College for Financial Planning®

Business Background

Sentara Capital, LLC (2012-Present)
Marietta, GA
Investment Advisor Representative

Resource Horizons Group, LLC (2008-2012)
Resource Horizons Investment Advisory, Inc. (2008-2012)
Marietta, GA
Registered Representative/Investment Advisor Representative

G.T. Brooks & Company, Inc. (2008-2012)
Marietta, GA
Insurance Agent

W.S. Allen and Company, Inc. (2007-Present)
Marietta, GA
Owner

Financial Partners Wealth Management, Inc. (2007-2012)
Marietta, GA (A branch office of Resource Horizons Group, LLC)
Registered Representative/Investment Advisor Representative

Jefferson Pilot Securities Corp. (2007-2008)
Marietta, GA
Registered Representative

Wells Fargo Financial (2001-2007)
Woodstock, GA
Manager

Merrill Lynch & Company, Inc. (2000-2001)
Alpharetta, GA
Broker Apprentice

Item 3: Disciplinary Information

Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this section. There are no reportable criminal or civil actions, administrative enforcement proceedings, self-regulatory organization enforcement proceedings or any other proceedings applicable to Mr. Allen.

Item 4: Other Business Activities

Mr. Allen is the owner of W.S. Allen and Company, Inc., a business entity created for the purposes business leases, expenses, etc.; it is not a financial industry enterprise. This activity involves five percent of his time during business hours.

Mr. Allen is also a licensed insurance agent and offers annuities, life, health or long term care insurance offered through various unaffiliated insurance companies where he may receive a commission on a client's purchase of the insurance contract. This activity involves five percent of his time during business hours.

Therefore, Mr. Allen may perform in a role as licensed insurance agent and/or investment advisor representative and is required to disclose (in advance of a transaction or agreement) the capacity in which he is serving a client, to include the potential or actual conflict of interest the role or service to be provided may incur.

William Allen is also engaged, during business hours, providing financial education presentations for the non-profit organization "Foundation for Personal Financial Education." This activity represents less than 10% of his time each month.

Item 5: Additional Compensation

Mr. Allen is not compensated for advisory services involving performance-based fees. He is not a senior executive of or insider to an issuer of a security. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Mr. Allen is not registered nor has an application pending to register as a representative of a futures commission merchant, commodity pool operator, or commodity trading advisor.

Item 6: Supervision

We supervise Mr. Allen by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We will monitor the advice that Mr. Allen may provide you by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
- Annual oversight of your financial situation, objectives, and investment needs, and
- A review of client correspondence on an as needed basis, and
- Periodic branch reviews.

Michael Brooks serves as Chief Compliance Officer and firm supervisor. Questions relative to our firm, services or this ADV Part 2B may be made to the attention of Michael Brooks at (770) 509-5305. Further, if you have not received a copy of our ADV Part 2A brochure, please contact your representative or call Mr. Brooks at the noted telephone number.

Additional information about our firm, other advisory firms, or associated representatives is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier, known as an IARD number. The IARD number for Sentara Capital, LLC is 161132, and the CRD number for your representative is noted in Item 2 of this brochure.

The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling the Georgia Securities Division at (478) 207-2440.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any sanctions or other matters where William Allen has been found liable in any civil, self-regulatory or administrative proceeding. Further, Mr. Allen has not been the subject of a bankruptcy petition.

¹The **CRPC** Program focuses on the pre- and post-retirement needs of individuals. The College for Financial Planning[®] awards the CHARTERED RETIREMENT PLANNING COUNSELORSM AND CRPC[®] designation to students who:

- successfully complete the program;
- pass the final examination; and
- comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.

Continued use of the CRPC[®] designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC[®] designation by:

- completing 16 hours of continuing education;
- reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and
- paying a biennial renewal fee.