

# Sentara Capital, LLC

**Registered Investment Advisor** 

Sentara Capital, LLC 3225 Shallowford Road NE/Suite 900 Marietta, GA 30062

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# **Michael Harrison Brooks**

Chief Compliance Officer Investment Advisor Representative

Form ADV Part 2B
Advisory Personnel Brochure Supplement
March 20, 2024

This brochure supplement provides information about Michael H. Brooks that supplements the Sentara Capital, LLC ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Michael Brooks, Chief Compliance Officer at (770) 509-5305 if you did not receive the Sentara Capital, LLC ADV Part 2A brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Brooks is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov.

# **Item 2: Educational Background and Business Experience**

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm through its advisory brochure or brochure supplement, as well as their business experience for at least the previous five years. As independent financial professionals, the officers and associates of our firm may have multiple industry affiliations so that they are able to provide a broad range of services to their clients; they are not, however, reflective of multiple changes of employment during the referenced timeframe as the noted financial professionals have been associated with the same local office for a minimum of the five year reportable period.

Year of Birth CRD #

1978 5439554

# Formal Education after High School

Bachelor of Music (Music Performance), Cleveland Institute of Music; Cleveland, OH Master's of Music (Music Performance), State University of New York at Purchase; Purchase, NY

#### **Business Background**

Sentara Capital, LLC (2008-Present)

Marietta, GA

Investment Advisor Representative/Chief Compliance Officer/Office Manager

Sentara Financial Planning (2017-Present)

Decatur, GA

President

Resource Horizons Group, LLC (2008-2012)
Resource Horizons Investment Advisory, Inc. (2008-2012)
Marietta, GA
Registered Representative/Investment Advisor Representative

G.T. Brooks & Company, Inc. (2009-2012) Marietta, GA Office Manager

Financial Partners Wealth Management, Inc. (2009-2012)

Marietta, GA (A branch office of Resource Horizons Group, LLC)

Office Manager/Registered Representative/Investment Advisor Representative

Hartford Symphony (2007-2009) Hartford, CT Musician

Grace Presbyterian Church (2007-2009) Water Mill, NY Director of Music Financial Marketing Group (2007-2008) Hartford, CT Support Staff

State University of New York (2007) Purchase, NY Technical Support

#### **Item 3: Disciplinary Information**

Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this section. There are no reportable criminal or civil actions, administrative enforcement proceedings, self-regulatory organization enforcement proceedings or any other proceedings applicable to Mr. Brooks.

#### **Item 4: Other Business Activities**

Mr. Brooks is the President of Sentara Financial Planning, a business entity created for the purposes business leases, expenses, etc.; it is not a financial industry enterprise. This activity involves five percent of his time during business hours.

Michael Brooks is also a licensed insurance agent, and he is able to sell annuities, life, health or long term care insurance offered through various unaffiliated insurance companies where he may receive a commission on a client's purchase of the insurance contract. This activity involves five percent of his time during business hours.

Therefore, Mr. Brooks may perform in a role as licensed insurance agent and/or investment advisor representative, and is required to disclose (in advance of a transaction or agreement) the capacity in which he is serving a client, to include the potential or actual conflict of interest the role or service to be provided may incur.

# **Item 5: Additional Compensation**

Mr. Brooks is not compensated for advisory services involving performance-based fees. He is not a senior executive of or insider to an issuer of a security. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Mr. Brooks is not registered nor has an application pending to register as a representative of a futures commission merchant, commodity pool operator, or commodity trading advisor.

# **Item 6: Supervision**

Michael Brooks serves as the firm's Chief Compliance Officer and supervises firm staff, including the referenced principal executives. We recognize that not having all organizational duties segregated may potentially create a conflict of interest; firm policies and procedures are designed to ensure appropriate recordkeeping and supervision.

Questions relative to our firm, services or this ADV Part 2B may be made to the attention of Michael Brooks at (770) 509-5305. Further, if you have not received a copy of our ADV Part 2A brochure, please contact Mr. Brooks at the noted telephone number.

Additional information about our firm, other advisory firms, or associated representatives is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier, known as an IARD number. The IARD number for Sentara Capital, LLC is 161132, and the CRD number for your representative is noted in Item 2 of this brochure.

The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling the Georgia Securities Division at (478) 207-2440.

# **Item 7: Requirements for State-Registered Advisors**

There have been neither arbitration awards nor any sanctions or other matters where Michael Brooks has been found liable in any civil, self-regulatory or administrative proceeding. Further, Mr. Brooks has not been the subject of a bankruptcy petition.